

## Electronic Acknowledgement Receipt

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| <b>EFS ID:</b>                              | 4020334   |
| <b>Application Number:</b>                  | 10615428  |
| <b>International Application Number:</b>    |   |
| <b>Confirmation Number:</b>                 | 7410  |
| <b>Title of Invention:</b>                  | Method and system for initiating pairs trading across multiple markets having automatic foreign exchange price hedge            |
| <b>First Named Inventor/Applicant Name:</b> | James P. Davidowitz   |
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| <b>Filer:</b>                               | David Toma.   |
| <b>Filer Authorized By:</b>                 |   |
| <b>Attorney Docket Number:</b>              | 061801/1061   |
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| <b>Application Type:</b>                    | Utility under 35 USC 111(a)   |

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| Submitted with Payment                   | yes             |
| Payment Type                             | Deposit Account |
| Payment was successfully received in RAM | \$810           |

| RAM confirmation Number  | 2343   |                  |   |                  |                  |
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| Deposit Account  | 501358   |                  |   |                  |                  |
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| <p>The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:</p> <p>Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)</p> <p>Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)</p> |  |                  |   |                  |                  |
| <b>File Listing:</b>   |  |                  |   |                  |                  |
| Document Number  | Document Description                                       | File Name        | File Size(Bytes)/<br>Message Digest       | Multi Part /.zip | Pages (if appl.) |
| 1  |  | Reply.pdf        | 269018                                    | yes              | 10               |
|  |  |                  | 37aee2e928540df4b28e1f0e3ee731dce130e4df  |                  |                  |
|  | <b>Multipart Description/PDF files in .zip description</b> |                  |   |                  |                  |
|  | <b>Document Description</b>                                | <b>Start</b>     | <b>End</b>                                |                  |                  |
|  | Amendment/Req. Reconsideration-After Non-Final Reject      | 1                | 1   |                  |                  |
|  | Claims   | 2                | 6   |                  |                  |
|  | Applicant Arguments/Remarks Made in an Amendment           | 7                | 10  |                  |                  |
| <b>Warnings:</b>   |  |                  |   |                  |                  |
| <b>Information:</b>  |  |                  |   |                  |                  |
| 2  | Request for Continued Examination (RCE)                    | sb0030e_fill.pdf | 780732                                    | no               | 3                |
|  |  |                  | d7747d2ac3df81555345f4c69d18891ca82e734e  |                  |                  |
| <b>Warnings:</b>   |  |                  |   |                  |                  |
| <b>Information:</b>  |  |                  |   |                  |                  |
| 3  | Fee Worksheet (PTO-06)                                     | fee-info.pdf     | 30115                                     | no               | 2                |
|  |  |                  | 490576eeb6c1f8b6d77cd505301983f68195b13bb |                  |                  |
| <b>Warnings:</b>   |  |                  |   |                  |                  |
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| <b>Total Files Size (in bytes):</b>  |  |                  | 1079865                                   |                  |                  |

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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.